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1. Your reference

TAB/SCA/60233/000

2. Patent application number

0216242.8

12 JUL 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)

De La Rue International Limited
De La Rue House
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Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

4. Title of the invention

Improvements in Labels

5. Name of your agent (if you have one)

BOULT WADE TENNANT

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

VERULAM GARDENS
70 GRAY'S INN ROAD
LONDON WC1X 8BT

Patents ADP number (if you know it)

42001

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Country

Priority application number
(if you know it)

Date of filing
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Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request?

Yes

(Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
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Description 11

Claim(s) 3

Abstract 0

Drawing(s) 3 + 3 RM

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Statement of inventorship and right to grant of a patent (Patents Form 7/77) 0

Request for preliminary examination and search (Patents Form 9/77) 1

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11

I/We request the grant of a patent on the basis of this application.

Signature

Date

12 July 2002

12. Name and daytime telephone number of person to contact in the United Kingdom Tessa A Bucks
020 7430 7500

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DUPLICATE

IMPROVEMENTS IN LABELS

The invention relates to labels and in particular to a label which can be used for security applications, such as an authentication certificate, means of identification or the like, as proof of the authenticity or origin of an article to which it is adhered.

Articles such as CD's, CD-ROM's and DVD's, as well as documents of value and means of identification, such as banknotes, passports, identification cards, certificates and the like, are vulnerable to copying or counterfeiting. The increasing popularity of colour photocopiers, electronic scanning and other imaging systems, and the improving technical quality of colour photocopiers, has led to an increase in the counterfeiting of such documentation and articles. There is, therefore, a need to improve the security features of such items to add additional security features or to enhance the perceptions and resistance of simulation to existing features.

Steps have already been taken to introduce optically variable features into labels which are applied to such articles and documentation to provide an indication of the authenticity of the goods or documents, and to provide a tamper-indicator. Such labels, for example as described in EP-A-0 773 527, cannot be reproduced by a photocopier or an electronic scanner due to the watermark incorporated in the labels. However, there is a demand to introduce labels which include further security features which are still discernible by the naked eye but are

"invisible" to, or viewed differently by, a photocopier or scanner, whilst still maintaining the small size of the label. Since the photocopying process typically involves reflecting high energy
5 light on an original document containing the image to be copied, one solution is to incorporate one or more features into the label which have a different perception in reflected and transmitted light. Some examples of such security features include watermarks,
10 embedded security threads, fluorescent pigments and the like.

Unfortunately, for labels containing these security features the ability to inspect the labels in
15 both reflected and transmitted light is necessary to identify the differences. These types of features have therefore not been considered to be suitable for applications where only one side of the label can be viewed in reflected light, e.g. on a label stuck to
20 another article.

A further problem lies in the fact that for many security applications, the security labels must be small, which means that not much space is available
25 for printed information and security features. It is an object of the present invention to overcome or reduce these disadvantages and provide a label, which can be small in size yet provide sufficient room for the necessary branding and/or printed information
30 required by the manufacturer as well as security features which are detectable in transmissive light and/or reflective light such as watermarks, embedded security threads, fluorescent pigments and the like.

35 According to the present invention there is therefore

provided a label comprising a substrate, having first and second opposing surfaces and comprising first and second sections, an adhesive applied to the first surface of the first section for adhering the label, in use, to an article, at least one security feature incorporated in or on at least one of said first and second sections, which security feature is concealed when the second section is adhered in a non-viewing position, and which is revealed when the second section is lifted into a viewing position.

Thus a label comprising the flap feature of the present invention has wide-ranging security applications as it can be checked for authenticity before application and in-situ by viewing in both transmitted and reflected light and, if watermarks or other tactile features are included, also by touch. Labels according to the present invention provide a high degree of security because they cannot be accurately photocopied, due to the use of both sides of the label, even if the label is removed from the article to which it is attached.

The adhesive which attaches the label to a document or article may be repositionable, or permanent so that attempts to remove the label result in damage to the label. Suitable adhesives include a water activated gum, a self-adhesive coating with a protective silicone backing, a double-sided tape or sheet. The adhesive itself may be ultra violet curable, pressure sensitive, hot melt, permanent or a repositionable adhesive.

The constituents of the base layer may be natural, synthetic or a combination of natural and

synthetic.

A preferred embodiment of the present invention will now be described in detail, by way of example
5 only, with reference to the accompanying drawings in which:

Figure 1 shows a plan view of a label according to a first embodiment of the present invention.

10 Figure 2 shows a side cross sectional elevation of the label shown in Figure 1, on a vertically exaggerated scale;

Figure 3 shows a perspective view of the label of Figure 1, in a viewing position;

15 Figure 4 shows a perspective view of a label according to a second embodiment of the present invention, in a viewing position;

Figure 5 shows a side cross-sectional elevation of the label of Figure 3 in a non-viewing
20 position;

Figure 6 shows a label according to a third embodiment of the present invention, in a non-viewing position; and

25 Figure 7 shows a label according to a fourth embodiment of the present invention, in a viewing position.

A label 10 is formed from a layer 11 of a substrate, such as paper or plastic, which layer 11
30 has a first surface 12 and a second opposing surface 13. The label 10 comprises a first section 15 and a second section 16 which are joined along a fold line 14. An adhesive 18 is applied to the second surface 13 of the first section 15, to enable the label 10 to
35 be attached to an article or document.

The adhesive 18 can be any suitable adhesive applied in a variety of forms, including a water activated gum, a self-adhesive coating with a protective silicone backing, a double-sided tape or sheet. The adhesive 18 itself may be ultra violet curable, pressure sensitive, permanent or a repositionable adhesive. The nature of the adhesive composition dictates the thickness of the layer of adhesive 18.

A second adhesive 17 is also applied to either the first surface 12 of the second section 16 or a portion of the first surface 12 of the first section 15, to allow the second section 16 to be adhered to the first section 15. The adhesive 17 on the first surface 12 of the second section 16 is any suitable repositionable adhesive, such as one of the "Dry Peel" adhesives available from Beardow Adams.

In a non-viewing position the first and second label sections 15, 16 are folded along fold line 14 and adhered to each other. The adhesive layer 18 secures the closed label to an article or document with the second surface 13 of the second (flap) section 16 facing upwards.

The label 10 is also provided with one or more security features 20 which are detectable in transmissive light and/or reflective light such as watermarks, embedded or windowed security threads, fluorescent pigments and the like. Two such features are illustrated in Figure 3, one being a watermark image and the other a windowed security thread.

The security features may be located on the first surface 12 of the first section 15, in the region obscured by the second section 16 when it is folded over, if it is not necessary to view the security feature in transmissive light. If a security feature is to be viewed in transmissive light it is located within, or on either of surfaces 12, 13 of the second section 16. Non-transmissive features can also be located on the first surface 12 of the second section 16. These features will be concealed when the second section 16 is in its non-viewing position. However, when the label 10 is opened, by peeling the second section 16 away from the first section 15 and lifting it, the concealed features will be revealed and the second section 16 can be held in a position whereby it can be viewed in transmissive light, to examine any transmissive security features therein.

The layer of substrate 11 may be of paper made using known papermaking machines, such as a cylinder mould or Fourdrinier machines, or it may even be hand-made. A range of fibre types can be used in the making of such paper including synthetic or natural fibres or a mixture of both.

Plastic substrates can be cast or extruded, as known in the prior art for similar applications.

The security features 20 are created in a known manner, for example as disclosed in EP-A-0059 056 (window threads), EP-A-0650 413 (liquid crystal watermarks), US-A-5,465,301 (thermochromic thread) or GB 2323 814 (demetallised threads).

A whole variety of security features may be

included in the label 10 according to the present invention to enhance the security of the label 10. For example, a wide range of different types of security thread can be used, including holographic threads, demetallised threads, demetallised holographic threads, fluorescent threads, thermochromic threads, coloured/metallised threads, threads with a chip or other machine-readable element, print see-through features, machine-readable threads, optically variable threads and microprinted threads. The threads may be wholly embedded or windowed threads, or may be embedded in a manner such that a continuous length of the thread is revealed in one surface of the substrate 11.

15

Other surface features may also be included such as planchette bands, fibre bands, iridescent coatings and transparentising coatings and print.

20

Labels 10 according to the present invention are also compatible with many known forms of printing such as intaglio, flexo-gravure, ink jet, hot foil stamping and so on. The labels 10 may also have a glossy or other finish which is clear or translucent.

25

Advantageously, the labels 10 may bear a brand name, image or printed information on the second surface 13 of the second section 16 which can clearly be seen when the second section 16 is adhered to the first section 15 in its non-viewing position, as shown in Figures 1 and 2. When in the viewing position, as shown in Figure 3, the security features can be viewed in reflective and/or transmissive light as required.

30
35

In the embodiment shown in Figures 1 to 3, the

first and second sections 15, 16 are both rectangular in shape, although the first section 15 is larger than the second section 16. However, the first and second sections 15, 16 could also be of any shape and could both be the same size.

A second embodiment of the label 10, which comprises first and second sections 15, 16, is shown in Figure 4. In this embodiment the repositionable adhesive layer 17 is on the second surface 13 of the second section 16, which means that in a non-viewing position the sections 15, 16 are not folded about fold line 14, but lie contiguously in the same plane, as shown in Figure 5.

In a third embodiment of the present invention, as shown in Figure 6, the repositionable adhesive 17 is applied to the second surface 13 of the second section 16. In order to attach the second section 16 to the first section 15, an edge 22 of the second section 16 is curved towards the fold 14 such that the second surface 13 of the second section 16 is in contact with the first surface 12 of the first section 15.

The label 10 may also be provided with a plurality of liftable sections 21, in accordance with a fourth embodiment of the present invention, as shown in Figure 6. In this embodiment, three liftable sections 21 are provided which each hinge about the first section 15 about a fold line 14. In this embodiment, a different security feature 20 may be provided in each liftable section 21, although equally the same security feature 20 could be used for each liftable section 21. Alternatively more than one

security feature 20 could appear on one or more of the
liftable sections 21. This embodiment is particularly
advantageous for applications which require the label
10 to carry a large amount of branding, graphics or
5 other information without the need for a label 10
which is as big as the article to which it is applied.

It is envisaged that the thickness of the
substrate layer 11 for making a label 10 according to
10 the present invention will be in the range of 50-130
 μm , more preferably 80-100 μm , although this range is
not limiting. The substrate from which the label 10
is made does not need to be particularly thick, and
can be less strong than the paper used for banknotes
15 or other similar security documentation which must be
hardwearing and resilient due to their continuous
handling.

For a label, however, this is not a disadvantage
20 as the label 10 is supported by its backing, before
application, or by the article or document to which it
is adhered, after application. Furthermore, in any
attempted removal of such a label 10 from the article
to which it is adhered, a slight weakness in the paper
25 layer 11 is likely to lead to tearing, thus providing
a tamper-proof feature. However, the layer 11 must be
strong enough that lifting the second section 16,
particularly against the pulling force of the adhesive
layer 17, does not result in tearing.

30

In one specific example of the present invention,
the preferred grammage of a paper substrate used as
layer 11 is 50-120gsm, more preferably 70-90gsm,
although this range is not limiting.

35

In a further embodiment of the invention the label 10 has a backing paper which is used to protect the label before it is applied to an article or document. A clear or highly translucent backing may
5 be used to enable any security feature 20 incorporated in the first section 15 of the label 10 to be checked in transmitted as well as reflected light before the backing is removed and before application of the label. However, a non-translucent backing may also be
10 used, which requires the removal of the backing before the label 10 can be checked prior to application.

The substrate layer 11 of the label 10 may be a single or two-ply layer. Two-ply paper, for example,
15 is generally made using two separate vats of papermaking furnish which produce two substrates which are compressed together to form a finished paper.

A two-ply label can also be made according to the
20 present invention in which one-ply is of paper and the other is of plastic, such as a clear or a coloured film.

The second section 16 of the label 10 may be
25 removable from the first section 15 of the label 10, according to a further embodiment of the present invention. The fold line 14 may be perforated to ease separation of the first and second sections 15, 16 or a pull tab along the fold line 14 may be incorporated
30 in the label 10 to separate the two sections.

The labels 10 according to the present invention may have a wide range of uses especially as
certificates of origin or authentication, and in
35 particular in fields where counterfeiting of the

products to which the labels 10 are attached is or may be rife, such as high value added or fast moving consumer goods, for example CD's and DVD's.

- 5 Although several specific embodiments of the present invention have been described above, the features described may be used in any combination.

CLAIMS:

1. A label comprising:
a substrate, having first and second opposing
5 surfaces and comprising first and second
sections;
an adhesive applied to the first surface of the
first section for adhering the label, in use, to
an article;
10 at least one security feature incorporated in or
on at least one of said first and second
sections, which security feature is concealed
when the second section is adhered in a non-
viewing position, and which is revealed when the
15 second section is lifted into a viewing position.
2. A label as claimed in claim 1, wherein at least
one security feature is incorporated in or on the
second section and is visible in transmissive light
20 when the second section is lifted into a viewing
position.
3. A label as claimed in claim 1 or claim 2, wherein
at least one security feature is incorporated in or on
25 the second section and is visible in reflected light.
4. A label as claimed in any one of the preceding
claims, wherein at least one security feature is
located on the first and/or second surfaces of the
30 second section.
5. A label as claimed in any one of the preceding
claims, wherein at least one security feature is

located on the second surface of the first section.

5 6. A label as claimed in any one of the preceding claims, wherein the first and second sections hinge about a fold line.

10 7. A label as claimed in any one of the preceding claims, further comprising a second repositionable adhesive applied to at least a part of one surface of the second section.

15 8. A label as claimed in claim 7, wherein the repositionable adhesive is applied to the second surface of the second section such that it adheres to the first section in the non-viewing position.

20 9. A label as claimed in claim 7 when dependent on any one of claims 1 to 5, wherein the repositionable adhesive is applied to the first surface of the second section such that it can be adhered in use, to an article.

25 10. A label as claimed in any one of the preceding claims, wherein at least one security feature is an elongated security element.

30 11. A label as claimed in claim 10, wherein the security element is partially or wholly embedded within the substrate.

35 12. A label as claimed in any one of the preceding claims, wherein the substrate comprises a plurality of second sections, each of which is liftable from a non-viewing position to a viewing position.

13. A label as claimed in any one of the preceding claims, wherein the second section of the label is separable from the first section of the label.

5 14. A label as claimed in claim 13, wherein separation of the first and second sections occurs at the fold line by means of perforations along the fold line.

10 15. A label substantially as hereinbefore described with reference to, or as shown in, the accompanying drawings.

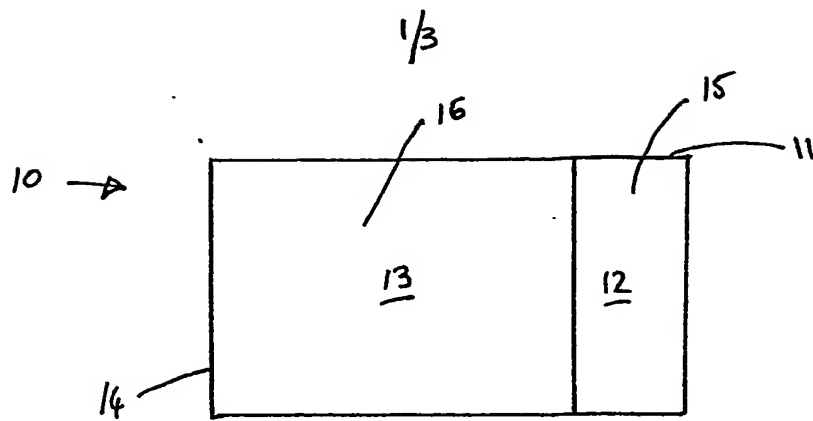


FIGURE 1

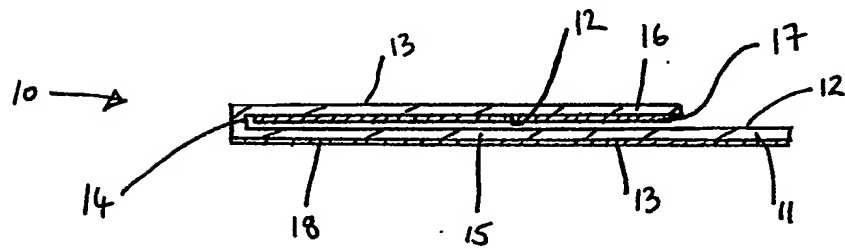


FIGURE 2

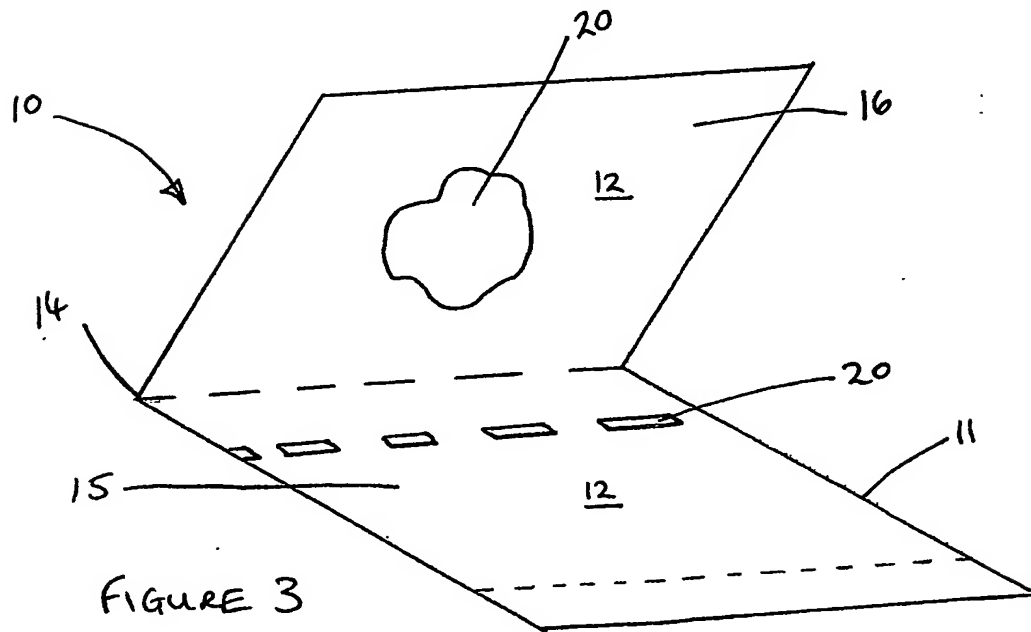


FIGURE 3

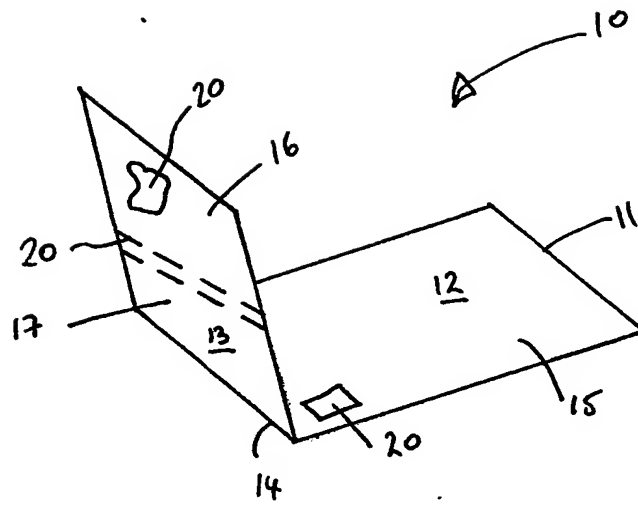


FIGURE 4

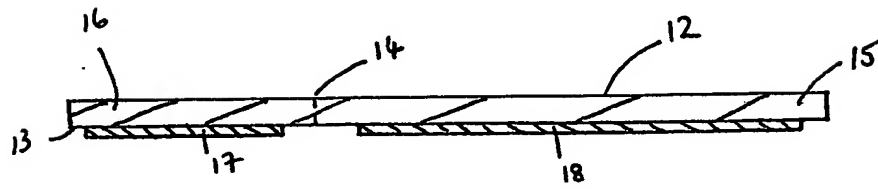


FIGURE 5

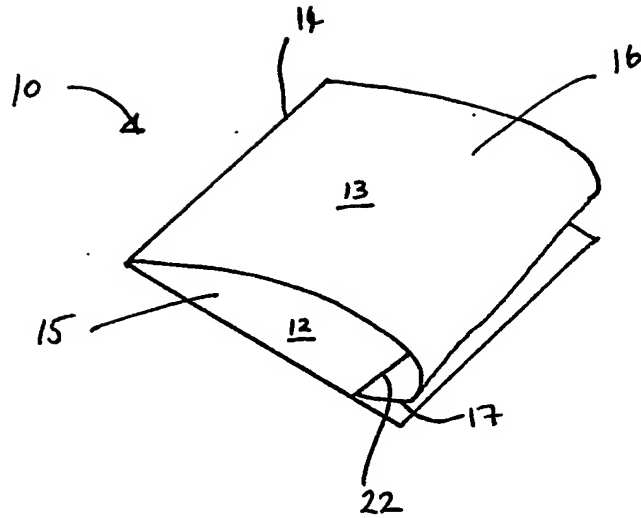


FIGURE 6

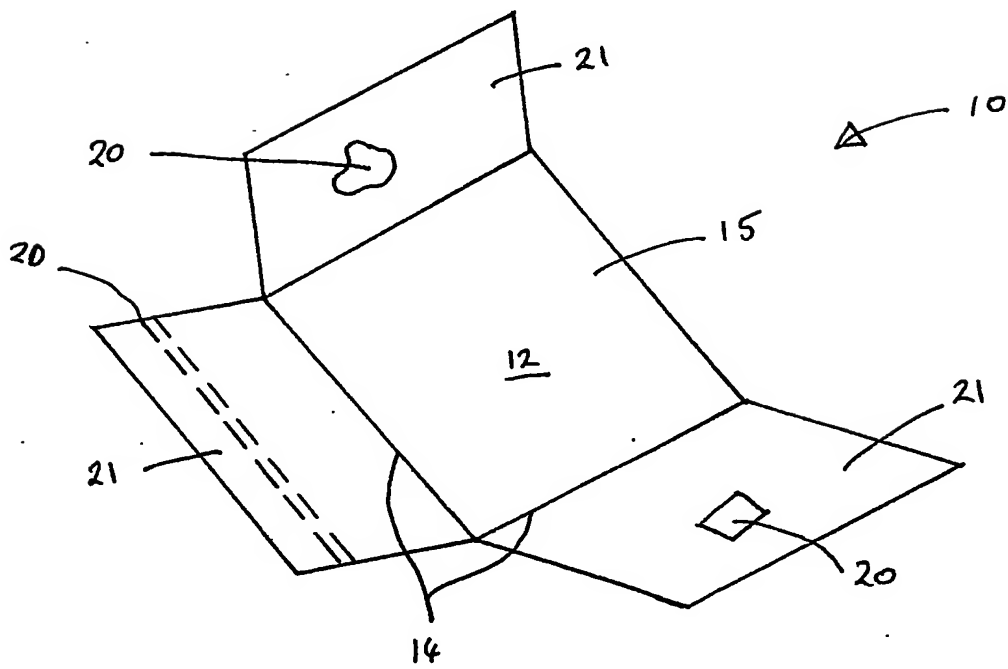


FIGURE 7

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